

**GOVERNMENT OF INDIA
NATIONAL STATISTICAL OFFICE
SOCIO-ECONOMIC SURVEY
SEVENTY-EIGHTH ROUND
JANUARY 2020 - DECEMBER 2020**

QUESTIONNAIRE 0.0: LIST OF HOUSEHOLDS

SECTION [0]: descriptive identification of sample FSU

item no.	item	code/number	Remarks
0.1	name of the State/UT:		To be copied from sample list.
0.2	name of the district:		
0.3	name of the sub-district or tehsil or town		
0.4	name of the village:		
0.5	specify investigator unit no.:		
0.6	specify block no.:		
0.7	specify SU no.:		

SECTION [1]: identification of sample FSU

item no.	item	code/number	Remarks
1.1	serial number of sample FSU:		To be copied from sample list
1.2	round number:	78	To be copied from sample list
1.3	questionnaire number	0.0	
1.4	sample (central -1, state-2)		
1.5	sector (rural-1, urban-2)		
1.6	NSS region code		
1.7	district code		
1.8	stratum number		
1.9	sub-stratum number		
1.10	sub-round number		
1.11	FOD sub-region code		
1.12	frame code		
1.13	population of village or number of households of UFS block:		
1.14	approximate present population of the entire village/ UFS block (in which the SU is located):		To be ascertained in the field.
1.15	total number of SUs to be formed (D)		To be copied from sample list
1.16	approximate present population of SU		To be ascertained in the field.

Instruction for forming Sub-divisions:

If the approximate present population of the selected SU is equal to or more than 1800 (900 for special areas), D₁ no of Sub-division will be formed. Sub-division will be formed in such a way that each Sub-division has more or less equal population.

Special areas (aprox present population)	Number of Sub divisions to be formed	Other areas (aprox present population)	Number of Sub-divisions to be formed
<900	1	<1800	1
900-1199	2	1800-2399	2
1200-1799	3	2400-3599	3
1800-2399	4	3600-4799	4
2400-2999	5	4800-5999	5
.... and so on and so on	...

1.17	number of Sub-divisions of SU to be formed (D ₁)		To be filled only when approximate present population of the selected SU is equal to or more than 1800 (900 for special cases).
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Please choose the appropriate alternative for the following :

1.18	<p>survey code:</p> <p><i>if selected FSU surveyed:</i></p> <p>inhabited – 1</p> <p>uninhabited – 2</p> <p>zero case – 3</p> <p><i>originally selected FSU not surveyed but substitute FSU surveyed:</i></p> <p>inhabited – 4</p> <p>uninhabited – 5</p> <p>zero case – 6</p> <p><i>selected FSU casualty – 7</i></p>	
1.19	<p>reason for substitution of original sample (code):</p> <p>not identifiable/traceable – 1</p> <p>not accessible – 2</p> <p>restricted area (not permitted to survey) – 3</p> <p>others (specify) – 9</p>	

SECTION [2]: particulars of field operations

item no.	item	name/code		
2.1	2.1.1 name of Junior Statistical Officer (JSO)/ Field Investigator (in block letters)			
	2.1.2 employee code of JSO/FI			
2.2	2.2.1 name of Junior Statistical Officer (JSO)/ Field Investigator (FI) (in block letters)			
	2.2.2 employee code of JSO/FI			
2.3	2.3.1 name of Senior Statistical Officer (SSO)/ Field Officer (FO) (in block letters)			
	2.3.2 employee code of SSO/FO			
2.4 (in the format DD MM YY)	2.4.1 commencement of survey (to be filled by JSO/FI)			
	2.4.2 commencement of inspection (to be filled by SSO/FO)			
	2.4.3 completion of survey (to be filled by JSO/FI)			
	2.4.4 completion of inspection (to be filled by SSO/FO)			
	2.4.5 receipt (to be filled by SSO/FO)			
	2.4.6 scrutiny (to be filled by SSO/FO)			
	2.4.7 despatch (to be filled by SSO/FO)			
2.5	total time taken to canvass the questionnaire by the team of investigators (to be filled by JSO/FI) (in hours) [no decimal point]			
2.6	whether any remark has been entered by JSO/FI (yes-1, no-2)	i. in section 7/8		
		ii. elsewhere in the questionnaire		
2.7	whether any remark has been entered by supervisory officer (yes-1, no-2)	i. in section 7/8		
		ii. elsewhere in the questionnaire		

SECTION [3]: sketch map of sub-units (SU) formation

Instructions for the JSO/FI :

For villages/UFS blocks requiring SU formation, draw a free hand sketch-map of the village/UFS block showing the boundaries of the hamlets and SUs formed for identification at the later stage. For villages, the serial numbers of the hamlets as given in col.1 of Section 4.1 will be written down on the map against each hamlet. The SU number given in col.1 of Section 4.2 to which the hamlet belongs will also be shown against each hamlet within brackets on the right side of the hamlet number. For urban areas also, the SUs will be numbered in the map. The area for the selected SU shall be shaded in the map.



SECTION [3.1]: sketch map of Sub-division formation

Instructions for the JSO/FI: For SU requiring formation of Sub-divisions, a freehand sketch map of the SU showing the boundaries of the Sub-divisions will be drawn. The number of Sub-divisions will be marked against each Sub-division corresponding to the number in column (1) of Section 4.3. The area of selected Sub-division will be shaded in the map.



SECTION [4.1]: list of hamlets (only for rural samples with SU formation)

serial number	name of hamlet	percentage of population of each hamlet	Remarks
(1)	(2)	(3)	<i>running serial number for the hamlets to be given in col .1.</i> <i>% of total pop. for hamlets reported in col 3 should add up to 100.</i>
Total		100	

SECTION [4.2]: list of sub-units (SU) and identification of selected SU

D=				
serial number of SU	serial number of hamlet(s) in the SU (for rural only)	percentage of population in the SU	selected SU	Remarks
(1)	(2)	(3)	(4)	<i>running serial no in col .1</i> <i>D is the highest sl.no in col .1</i> <i>% of total pop. for each SU reported in col.3 should add up to 100</i> <i>'1' to be entered in col.4 against the selected SU</i>
Total		100		

SECTION [4.3]: list of Sub-divisions of selected SU with population equal to or more than 1800 (900 for special cases) and selection and identification of selected Sub-division

D ₁ =		R=	
serial no. of Sub-division	% population in the Sub-division	Selected Sub-division	Remarks
(1)	(2)	(3)	<i>D₁ is the highest serial no. of Sub-division in col.1</i> <i>% of total pop. for Sub-division reported in col.2 of block 4.3 should add up to 100.</i> <i>'1' is to be entered in col.3 against selected Sub-division.</i> <i>R is the random number between 1 to D₁.</i>
Total		100	

SECTION 5: List of households and structures

Instructions for the JSO/FI: All the structures and households residing in a structure are to be listed. If the structure is used wholly for non-residential purposes or vacant, only fill-in questions 5.0 and 5.1 and proceed to the next structure. Only for households residing in the structure, fill-in all the questions.

item no.	item	number/code
5.0	serial no of row: (auto-generated)	<input style="width: 100%;" type="text"/>
5.1	house number: <i>[census/ municipality/ local body/ panchayat house number if available; running serial number within bracket otherwise]</i>	<input style="width: 100%;" type="text"/>
5.2	is the house used only for non-residential purposes? (Yes – 1, No – 2) If Yes, Go to next row (5.0)	<input style="width: 100%;" type="text"/>
5.3	household serial number: <i>[only for houses/ structures used for residential purposes (Starting serial no is 1. Then continuous numbering without omission and duplication for each household listed).</i>	<input style="width: 100%;" type="text"/>
5.4	name of head of the household	<input style="width: 100%;" type="text"/>

Concepts for the JSO/ FI:

Household size: The size of the listed household is the total number of persons normally residing together and taking food from the same kitchen. It includes temporary stay-aways and excludes temporary visitors. Domestic servants and paying guests staying with the households will be treated as household members. Any person staying or intending to stay for less than 6 months will be considered as temporary resident.

5.4.1	how many persons (including domestic servants / paying guests, etc.) are currently staying with household?	<input style="width: 100%;" type="text"/>
5.4.2	how many persons are staying temporarily with the household	<input style="width: 100%;" type="text"/>
5.4.3	how many family member are staying away temporarily?	<input style="width: 100%;" type="text"/>
5.4	Compute: household size = 5.4.1 - 5.4.2 + 5.4.3	<input style="width: 100%;" type="text"/>
5.5	Compute: household size code (1-2) <i>[household size $\geq 7=1$; household size $<7=2$]</i>	<input style="width: 100%;" type="text"/>

Concepts for the JSO/FI:

Household's usual consumer expenditure (Rs. in whole number) in a month: Household consumer expenditure is the sum total of monetary values of all goods and services consumed (out of purchase or procured otherwise) by the household on domestic account with a monthly regularity during a reference period of last 365 days. Unusual expenditures, such as, expenditure on social ceremonies, capitation fee, hospitalization, tours, etc. are to be excluded for deriving usual monthly consumer expenditure of the household. However, expenditure on household durable goods is to be included and monthly expenditure on durable goods is to be derived by apportioning the total expenditure made by the household on durable goods during last 365 days.

For deriving household's usual monthly consumer expenditure, information on regular monthly expenditure of the household for household purposes out of purchase, home grown and wages in kind, free collection gift etc. is collected along with the information on expenditure of the household for purchase of clothing, footwear, durable goods during last 365 days. The usual monthly consumer expenditure of the household may be collected as:

- A: usual consumer expenditure in a month for household purposes out of purchase
- B: imputed value of usual consumption in a month from home grown/home produced stock
- C: imputed value of usual consumption in a month from wages in kind, free collection, gifts etc.
- D: expenditure on purchase of items like clothing and footwear during last 365 days.
- E: expenditure on purchase of household durables during last 365 days

The sum of $A+B+C+(D+E)/12$ is to be entered as usual monthly consumer expenditure of the household in whole number of rupees.

Imputation of goods received in kind:

- (a) The value of home produce will be imputed at ex-farm or ex-factory price,
- (b) The value of consumption out of wages in kind, gifts, free collections etc. will be imputed at the average local retail prices prevailing during the reference period.

Some Examples of Household durables are:

- (a) Bicycles and motorised vehicles such as motor cars, motorcycles and tractors
- (b) Computers, including desktop, laptop, notebook, netbook, palmtop, smartphone (but not ordinary cellphones) and tablets
- (c) Other household appliances running on electricity such as television, refrigerator, air conditioner, air cooler, geyser & hot water system, microwave oven and water purifier
- (d) Inverters/ generators
- (e) Large furniture such as bedsteads, almirahs, etc. (excluding folding cots, cots with nylon or rope as the base material)

item no.	item	number/code
5.6.1	usual consumer expenditure (in Rs.) in a month for household purposes out of purchase of goods and services excluding items like clothing, footwear (say, A)	<input type="text"/>
5.6.2	do you usually consume any home grown products like rice, cereals, pulses, vegetables, milk, firewood, chips, cow dung, etc.? (Yes – 1, No - 2). If yes, Go to 5.6.3 If no Skip to 5.6.4	<input type="text"/>
5.6.3	imputed value (in Rs.) for the home grown produce usually consumed in a month (say, B)	<input type="text"/>
5.6.4	do you usually receive wages in kind, or collect/consume anything for household consumption free of cost, gift, etc.? (Yes – 1, No - 2). If yes, Go to 5.6.5 If no, Skip to 5.6.6	<input type="text"/>
5.6.5	imputed value (in Rs.) of wages/free collection/gift in kind received in a month. (say , C)	<input type="text"/>
5.6.6	did you purchase of items like footwear, clothing for the household during last 365 days? (Yes – 1, No - 2). If yes, Go to 5.6.7 If no, Skip to 5.6.8	<input type="text"/>
5.6.7	Expenditure (in Rs.) on purchase of footwear and clothing during last 365 days (D, say)	<input type="text"/>
5.6.8	did you purchase any durable goods like bedstead, furniture, vehicles, TV, fridge, fans, cooler, AC, mobile, computer, kitchen equipment, etc., for the household during last 365 days? (Yes – 1, No - 2). If yes, Go to 5.6.9 If no, Skip to 5.6	<input type="text"/>
5.6.9	Expenditure (in Rs.) on purchase of household durable goods (E, say)	<input type="text"/>
5.6	Compute: Usual monthly consumer expenditure of the household = $A + B + C + (D+E)/12$	<input type="text"/>
5.7	Compute: Usual Monthly Per Capita Expenditure (UMPCE) of the household (Rs. in whole number) = $5.6 / 5.4$	<input type="text"/>
5.8	Compute: UMPCE code (1 – 2) (Each NSS region has a different value of 'A') [UMPCE > A = 1 : UMPCE ≤ A = 2]	<input type="text"/>

Concepts for the JSO / FI:

Trip: A trip is uniquely specified by its main destination, leading purpose, no. of household members in the trip being together (with variation of up to 3 days). 'Being together' means being in the same town or village for most of the time during the trip and also either starting or ending trip together. They can either start together but end the trip with a variation of up to 3 days or vice-versa.

Domestic Overnight Trip: A domestic overnight trip is one with a main destination within the country of residence of the visitor. It refers to a movement - for a duration of not less than twelve hours (including 12 midnight to 5 A.M.) in two consecutive calendar days (i.e. crossing midnight or 00-00 hours) and of not more than 365 days - by one or more household members outside their usual environment (which

includes the usual place of residence (UPR)) and return to the same UPR (a round trip), irrespective of place of stay during this hours.

All the movements of household member by air would constitute an overnight trip, if duration of stay outside the UPR just includes 12 midnight to 5 a.m. spanning two consecutive calendar dates. Thus, in case of air travel, the *minimum 12 hours criterion* as well as the condition for excluding ‘*more or less frequent and regular movement*’ is not necessary for considering as domestic overnight trip.

The movement is for any of the following purposes:

Business, Holidaying, leisure & recreation, Social, Pilgrimage & Religious, Education and Training, Health and Medical, Shopping, Other purposes.

The followings are excluded from the purview of domestic trip:

Any movement for the purposes of migration or getting employed or setting up of residence in that place.

Movements between UPR and the place of work or study.

All movements, made more or less regularly and frequently from the UPR and back (daily/ every alternate day or at least once in a week) for shopping, visiting family, for religious purpose, health and medical care, education and training, etc. repeatedly/likely to be repeated for a period of more than six months for the same purpose, irrespective of the distance travelled.

All the trips completed during the reference period by former household members who are currently not a member of that selected household at the time of survey.

item no.	item	number/code
5.9	did any household member complete any overnight trip during last 365 days for medical/holidaying/shopping purposes? (Yes=1 , No=2). If No, go to 5.10 , if Yes skip to 5.11	<input type="text"/>
5.10	did any household member complete any overnight trip during last 30 days for any other purpose? (Yes= 1 , No=2)	<input type="text"/>
5.11	whether the household constructed/ purchased any new house/flat for residential purpose after 31 st March, 2014? (Yes=1, No=2)	<input type="text"/>
5.12	Compute: SSS number for questionnaire 21.1	<input type="text"/>
5.13	Compute: SSS number for questionnaire 5.1	<input type="text"/>
GO TO NEXT ROW		

[Compensation for Shortfall, Selection of sample households to be done through software package.]

SECTION [6]: particulars of sampling of households [col. 1 –col. 6 are auto generated;

col.7 – col.10 to be filled after completion of canvassing of questionnaires 21.1 and 5.1 to all sample households]

questionnaire number	population	second stage stratum (SSS)	number of households						
			listed (H)	selected (h)	surveyed			casualty [col.5 – col.8]	replaced
					originally selected	substituted	total [col. 6+ col.7]		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
21.1		1							
		2							
		3							
		4							
		5							
		all (9)							
5.1		1							
		2							
		3							
		4							
		5							
		all (9)							

SECTION [7]: remarks by JSO/FI

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SECTION [8]: comments by supervisory officer(s)

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